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☑ Declaration

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PTO/SB/01 (12-97) Approved for use through 9/30/00. OMB 0651-0032

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DECLARATION FOR UTILITY OR			Attorney Docket Numbe	f 6213RE	1
DECLARA		N FOR UTILITY OR ESIGN	First Named Inventor	Lars Severinsson	
PATENT APPLICATION	COMPLETE IF KNOWN				
(3	37 C	FR 1.63)	Application Number		
☑ Declaration		По и и	Filing Date		
Submitted	OR	☐ Declaration Submitted after Initial	Group Art Unit		
with Initial Filing		Filing (surcharge · (37 CFR 1.16 (e))	Examiner Name		

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Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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# DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, fisted below and; insidiar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I schrowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent

Number

Parent Filing Date

(if applicable)

April 24, 1997 5,833,035

	. 1	1	
Additional U.S. or PCT international application numbers are listed	on a supplemental	priority data sheet PTO/S	8/028 sttached hereto.
a named inventor, I hereby appoint the following registered practition	ver(s) to prosecute	this application and to tran	sact all business in the Pa
and Trademark Office connected therewith: Customer Number		<b> </b>	Place Customer
_ or _			Number Bar Code
Registered practitions	r(s) name/registrati	on number fisted below	Label here

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Name	Registration Number	Name	Registration Number		
LAURENCE R. BROWN	16,486				

_ Modeliona	Legizieleg blactitionella	named on supplement	al Registered Practitioner Information	mation sh	eet PTO/SB/02C attached hereto.
Direct all con	respondence to:	Customer Number or Bar Code Label		OR	X Correspondence address below
Name	Laurence R	Brown			

Name	Laurence R. Brown					
Address	2001 Jefferson Davis Highway					
Address	Suite 408			<del></del>		
			00000			

City A	rlington	State	VA	ZIP	22202
Country U	nited States	Telephone (703)415-4	660	Fax	(703)415-4664

#### REISSUE DECLARATION CLAUSES UNDER 37 CFR 1.175

Applicant believes the original patent to be partly inoperative or invalid by reason of the patentee claiming less than patentee had the right to claim in the patent.

The defective, inoperative or invalid patent arose through error without deceptive intent in that the true scope of the invention disclosed in the patent was not fully appreciated by the applicant or his attorneys until recent thorough review and study of the claim coverage revealed the error of patentee claiming less than he had a right to claim.

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Name of Sole or F	Name of Sole or First Inventor: A petition has been filed for this unsigned inventor								
Given Nar	Given Name (first and middle [if any]) Family Name or Sumame								
Lars					Severi				
Inventor's Signature	Jan	1	w	SS	2			Date	
Residence: City	Hishult	· ·	State	_	Country	Sweder	1	Citizenship	Swedish
Post Office Address .	Nordanav	agan, S-	-310	21					
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Additional invento	rs are being na	med on the	Sui	plemental	Additional In	ventor(s) st	neet(s) PTO	SB/02A attac	thed hereto
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#### PATENT 01925-I0128A WWW

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lars Severinsson	Assignee:Haldex Brake Products AB
Serial No. 09/324,506	Filing Date: June 3, 1999
Title of Application:	Disc Brake Caliper

**Assistant Commissioner for Patents** Washington, DC 20231

# Revocation and New Power Of Attorney

Dear Sir:

Haldex Brake Products AB, hereby revokes all previous powers of attorney and appoints the following attorneys to prosecute this patent and transact all business in the United States Patent and Trademark Office connected therewith, receive all communications from the United States Patent and Trademark Office, to receive the Letters Patent, and be its agent for service of process in connection herewith:

> Wesley W. Whitmyer, Jr., Registration No. 33,558 Richard J. Basile, Registration No. 40,501 Attorneys for Applicant ST.ONGE STEWARD JOHNSTON & REENS LLC 986 Bedford Street Stamford, CT 06905-5619 203 324-6155

To the best of my knowledge, Haldex Brake Products AB is the assignee of record of the patent application identified above.

HALDEX BRAKE PRODUCTS AB

Dated: <u>#26/3</u>-00 March 28,2000

Name: Magnus Bergström

Title: Managing Director





# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	Lars Serverinsson
Serial No. 09/324,506	Filing Date: June 3, 1999
Title of Application:	Disc Brake Caliper — Reissue of U.S. Patent No. 5,883,035
Group Art Unit: 3613	Examiner: C. Schwartz

**Assistant Commissioner for Patents** Washington, DC 20231

## Consent of Assignee of Record Under 37 CFR 3.73

The assignee of record, Haldex Brake Products Aktiebolag, hereby gives consent to the reissue of U.S. Patent No. 5,883,035, granted November 10,-1998 to Lars Severinsson, by signature of the below identified officer. Haldex Brake Products Aktiebolag is owner of the aforesaid patent for which reissue is sought by virtue of an assignment from the inventor to Haldex Aktiebolag recorded with the U.S. Patent and Trademark Office on Reel 8598 Frame 0793. Subsequently, Haldex Aktiebolag legally changed its name to Haldex Brake Products Aktiebolag, which name change was recorded with the U.S. Patent and Trademark Office on Reel 10437 Frame 0773.

Dated: 00073/

Certificate of Transmission: I hereby certify that this correspondence is today being transmitted to the U.S. Patent and Trademark Office (Fax No. 703-308-3519).

Todd M. Oberdick

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: Reissue of Patent 5,833,035

Inventor: Lars Severinsson

Granted: Nov. 10, 1998

#### DECLARATION OF EUROPEAN COUNSEL FILED WITH REISSUE APPLICATION

Now comes Stellan Petri, of the firm of Strom & Gullickson AB, Patent Attorneys and Lawyers, Studentgatan 1, Malmo, Sweden, who initially prepared the parent Swedish and PCT patent applications corresponding to U. S. Patent 5,833,035 as counsel for the inventor and assignee, and who directed and supervised prosecution of the U. S. application S. N. 08/817,769 in the United States, declaring:

That I did not fully appreciate at that time the true nature and scope of the invention disclosed and claimed in the U. S. application S. N. 08/817,769 resulting in the claims of the subject U. S. Patent 5,833,035.

That my failure to fully appreciate the true nature and scope of the invention disclosed in the original application was without fraudulent or deceptive intention and arose from inadvertence, accident or mistake;

That the realization that the initial claims had not claimed enough came only recently after European Oppositions for brake application mechanisms resulted in intensive study of the scope and contents of this subject matter and the initial claims prosecuted;

That it was then recognized that in the study of accumulated prior art available in this field that broader claims could have been made in this application; and

That accordingly divisional cases were filed with different claims in Europe and at that time I contacted U. S. Counsel to determine whether a Reissue in the U. S. A. would be be feasible.

The undersigned being hereby warned that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the resulting patent, declares that all statements made of his own knowledge are true and all statements made on information and belief are believed to be true.

Date: May 31, 1999



In Re: Reissue of Patent 5,833,035

Inventor: Lars Severinsson

Granted: Nov. 10, 1998

#### DECLARATION OF U.S COUNSEL FILED WITH REISSUE APPLICATION

Now comes Laurence R. Brown, Attorney of Record in S. N. 08/817,769 who initially filed and prosecuted that application, now U. S. Patent 5,833,035 as counsel for the inventor and assignee, declaring:

That I did not fully appreciate at that time of filing or at any time during the prosecution of the application the true nature and scope of the invention disclosed and claimed in the U. S. application S. N. 08/817,769 resulting in the claims of the subject U. S. Patent 5,833,035;

That I initially was commissioned to file claims prepared by Mr. Stellan Petri in the U.S.A. and thus to some degree relied upon Mr. Petri and the applicant inventor to know the state of the art and the scope of the invention to be claimed;

That I did not make a patentability search or report during the course of prosecution in the U.S.A.;

That, I erred in failing to appreciate the breadth of the invention that might have been claimed during the prosecution phase; and

That my failure to fully appreciate the true nature and scope of the invention disclosed in the original application was without fraudulent or deceptive intention and arose from inadvertence,

accident or mistake.

The undersigned being hereby warned that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the resulting patent, declares that all statements made of his own knowledge are true and all statements made on information and belief are believed to be true.

Laurence R. Brown

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lars Severinsson	Assignee: Haldex Brake Products Aktiebolag
Serial No. 09/324,506	Filing Date: June 3, 1999
Title of Application	<b>Disc Brake Caliper</b> Reissue of U.S. Patent No. 5,883,035
Group Art Unit 3613	Examiner C. Schwartz

Assistant Commissioner for Patents Washington, DC 20231

## Second Supplemental Reissue Declaration Of Lars Severinsson

- I, Lars Severinsson, declare as follows under penalty of perjury:
- 1) My residence, post office address, and citizenship are as stated below next to my name.
- 2) I am the original, first and sole inventor of the above-referenced reissue patent application and make this declaration in support thereof.
- Priority Under 35 U.S.C. 120 -- I do not claim the benefit under 35 U.S.C. 120 of any United States Application. To the extent that such benefit was claimed in my declaration filed concurrently with the present application on June 3, 1999, such claim was in error, and hereby revoked.
- Priority Under 35 U.S.C. 119 -- I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign applications for patent or inventor's certificate listed below and have also identified below any foreign applications for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Sweden (SE) Patent No. 9403624-1, filed October 24, 1994

<u>Certificate of Transmission</u>: I hereby certify that this correspondence is today being transmitted to the U.S. Patent and Trademark Office (Fax No. 703-308-3519).

Todd M. Oberdick

August /, 2000





# Page 2 Declaration of Lars Severinsson

Applicant: Haldex AB

- I believe the original patent to be partly inoperative or invalid because the claims include unnecessary limitations, and patentee claimed less than patentee had the right to claim in the patent. One error in particular is that no independent claim is broadly directed to the concept that the bearing tappets are connected to the caliper, and that the invention operates by a wedging action of the wedge between the fixed tappets and the cross bar. This feature is disclosed as a key feature of the invention, e.g. immediately following the heading "INVENTIONS" in col. 1 at lines 27-30, and in col. 3 at lines 51-54, and in FIGS. 1 and 2.
- 6) This defect arose through error without deceptive intent in that the true invention was disclosed in the application but was not sufficiently claimed in the patent.
- 7) A recent thorough review and study of the claim coverage of this patent revealed these errors and led to the filing of this reissue application.
- 8) Every error in the patent in the present reissue application, particularly those not covered by the prior declaration submitted in this application, arose without any deceptive intention on the part of the applicant.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

ame of first inventor:

Inventor's signature

Date:

Residence:

Citizenship:

Post Office Address:

Lars Severipsson

Hishult, Sweden

A citizen of Sweden

Nordanavagan, S-310 21

Hishult, Sweden



PATENT

03323-P0001B www

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lars Severinsson	Assignee:Haldex Brake Products AB
Serial No. 09/324,506	Filing Date: June 3, 1999
Title of Application:	Disc Brake Caliper

Assistant Commissioner for Patents Washington, DC 20231

## Supplemental Reissue Declaration Of Lars Severinsson

I, Lars Severinsson, declare as follows under penalty of perjury:

1) I am the inventor of the above-referenced reissue patent application and make this declaration in support thereof.

- l believe the original patent to be partly inoperative or invalid because the claims include unnecessary limitations, and patentee claimed less than patentee had the right to claim in the patent. One error in particular is that no independent claim is broadly directed to the concept that the bearing tappets are connected to the caliper. This feature is disclosed as a key feature of the invention, e.g. immediately following the heading "INVENTIONS" in col. 1 at lines 27-30, and in col. 3 at lines 51-54, and in FIGS. 1 and 2.
- 3) This defect arose through error without deceptive intent in that the true invention was disclosed in the application but was not sufficiently claimed in the patent.
- 4) Another error is that no independent claim is broadly directed to the concept that the two thrust sleeves, cross bar and lever are pre-assembled as a unit prior to installation in the caliper. This feature of the invention is disclosed, e.g. col. 3, lines 30-36.
- A recent thorough review and study of the claim coverage of this patent revealed these errors and led to the filing of this reissue application.
- 6) Every error in the patent in the present reissue application that is not covered by the prior declaration submitted in this application arose without any deceptive intention on the part of the applicant.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor:

Lars Severinsson

Page 2
Declaration of Lars
Applicant: Haldex Applicant:



Inventor's

signature

Date:

2000-03-29 (Marcu 29, 2000)

Residence:

Hishult, Sweden

Citizenship:

A citizen of Sweden

Post Office Address:

Nordanavagan, S-310 21

Hishult, Sweden